

## THE PRE-PERIOD OF THE GLUED SUM OF FINITE MODULAR LATTICES

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### Abstract

The notion of a pre-period of an algebra  $\mathbf{A}$  is defined by means of the notion of the pre-period  $\lambda(f)$  of a monounary algebra  $\langle A; f \rangle$ : it is determined by  $\sup\{\lambda(f) \mid f \text{ is an endomorphism of } \mathbf{A}\}$ . In this paper we focus on the pre-period of a finite modular lattice. The main result is that the pre-period of any finite modular lattice is less than or equal to the length of the lattice; also, necessary and sufficient conditions under which the pre-period of the glued sum is equal to the length of the lattice, are shown. Moreover, we show the triangle inequality of the pre-period of the glued sum.

**Keywords:** ordinal sum, glued sum, modular lattice, endomorphism, pre-period, connected unary operation.

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## 1. INTRODUCTION

One of the most important tools in studying universal algebra is the notion of endomorphism. An endomorphism  $f$  of a structure  $A$  can be considered as a unary operation and  $\langle A; f \rangle$  is a *monounary algebra*. Some properties of monounary algebras connected with the notion of homomorphism were studied, e.g., in [3, 4, 7, 11, 12].

The importance of the theory of unary and monounary algebras is pointed out for example in the monographs [6, 8, 9, 10]. The advantage of monounary algebras is their relatively easy visualization as they can be represented as planar directed graphs. If the graph of a monounary algebra is connected, then it is called a *connected monounary algebra*. As every graph is a sum of connected components, every monounary algebra is a sum of connected monounary algebras.

Let  $f : A \rightarrow A$  be a unary operation on a set  $A$ . Let  $f^0$  be the identity map on  $A$  and  $\text{Im}(f) := \{f(a) \mid a \in A\}$ . A *pre-period* (or *stabilizer*) of  $f$  is the least nonnegative integer  $n$  satisfying  $\text{Im}f^n = \text{Im}f^{n+1}$  and is denoted by  $\lambda(f)$  (see e.g. [15]). An operation  $f$  on  $A$  is *connected* if for each  $a, b \in A$ , there exist nonnegative integers  $n, m$  such that  $f^n(a) = f^m(b)$ . Some results from [2] and [13] imply that  $\lambda(f) \leq |A| - 1$  and the authors characterized  $f$  with  $\lambda(f) = |A| - 1$ ; moreover, if  $\lambda(f) = |A| - 1$ , then  $f$  is connected.

Several authors focus specially on connected monounary algebras (see e.g., [14, 5]). We saw in [1] that if  $f$  is a connected order-preserving map on a bounded poset  $\mathbf{P}$ , then  $f$  has a unique fixed point  $\alpha$  ( $f(\alpha) = \alpha$ ); moreover,  $\lambda(f) \leq \ell(\mathbf{P})$  where the *length*  $\ell(\mathbf{P})$  of  $\mathbf{P}$  is defined by  $|C| - 1$  for the longest chain  $C$  in  $\mathbf{P}$ . The *pre-period* of a finite lattice  $\mathbf{A}$  fixing  $\alpha$  is the maximum of  $\lambda(f)$  whose  $f$  is a connected endomorphism on  $\mathbf{A}$  and  $\alpha$  is the fixed point and it is denoted by  $\lambda_\alpha(\mathbf{A})$  which was studied in the case  $\alpha = 0$ . They showed that if  $\mathbf{A}$  is distributive, then  $\lambda_0(\mathbf{A}) \leq \ell(\mathbf{A})$  and the authors of [1] characterized  $\mathbf{A}$  with  $\lambda_0(\mathbf{A}) = \ell(\mathbf{A})$ .

In this work, we generalize some notions and facts in [1] to an endomorphism without the connectivity. The supremum of  $\lambda(f)$  whose  $f$  is an endomorphism of a lattice  $\mathbf{A}$  is called the *pre-period* of  $\mathbf{A}$  denoted by  $\lambda(\mathbf{A})$  which is shown to be less than or equal to the length of  $\mathbf{A}$  if it is finite modular. A finite modular lattice  $\mathbf{A}$  is said to have the maximum pre-period property (briefly MPP) if  $\lambda(\mathbf{A}) = \ell(\mathbf{A})$ . We characterize them via the concept of the connectivity. However when  $\mathbf{A}$  is complicated, it is not easy to study  $\lambda(\mathbf{A})$ . One of the ways to determine it is to consider  $\mathbf{A}$  as built up from simpler components.

Let  $\mathbf{A}$  and  $\mathbf{B}$  be (disjoint) ordered sets. The *ordinal sum*  $\mathbf{A} \oplus \mathbf{B}$  is defined by taking the following order relation on  $A \cup B$  :  $a \leq b$  if and only if

- (i)  $a, b \in A$  and  $a \leq b$  in  $A$ ,
- (ii)  $a, b \in B$  and  $a \leq b$  in  $B$ ,
- (iii)  $a \in A$  and  $b \in B$ .

If  $\mathbf{A}$  has the top  $1_{\mathbf{A}}$  and  $\mathbf{B}$  has the bottom  $0_{\mathbf{B}}$ , the *glued sum* of  $\mathbf{A}$  and  $\mathbf{B}$ , denoted  $\mathbf{A} \dot{+} \mathbf{B}$ , is obtained from the ordinal sum by identifying  $1_{\mathbf{A}}$  with  $0_{\mathbf{B}}$ . We will show necessary and sufficient conditions of finite modular lattices  $\mathbf{A}$  and  $\mathbf{B}$  such that  $\mathbf{A} \dot{+} \mathbf{B}$  has the MPP. Also, we prove the triangle inequality:  $\lambda(\mathbf{A} \dot{+} \mathbf{B}) \leq \lambda(\mathbf{A}) + \lambda(\mathbf{B})$  for finite lattices  $\mathbf{A}$  and  $\mathbf{B}$ .

2. PRELIMINARIES

A unary operation  $f$  on a lattice  $\mathbf{A} = \langle A; \vee, \wedge \rangle$  is said to be an *endomorphism* on  $\mathbf{A}$  if  $f(a \vee b) = f(a) \vee f(b)$  and  $f(a \wedge b) = f(a) \wedge f(b)$  for all  $a, b \in A$ . One can see for a finite lattice  $\mathbf{A}$  that there exists the top 1 and the bottom 0; moreover for an endomorphism  $f$  on  $\mathbf{A}$ ,  $f$  is connected fixing 0 if and only if  $f^n(1) = 0$  for some non-negative integer  $n$ . This implies that the pre-period  $\lambda(f)$  of a connected endomorphism  $f$  on a finite lattice  $\mathbf{A}$  fixing 0 is the least non-negative integer with  $f^{\lambda(f)}(1) = 0$ . It was showed in [1] for a finite distributive lattice  $\mathbf{A}$  that  $\lambda_0(\mathbf{A}) \leq \ell(\mathbf{A})$ . A condition on  $\mathbf{A}$  for  $\lambda_0(\mathbf{A}) = \ell(\mathbf{A})$  is shown in the following theorem. Moreover, it can be stated for any finite modular lattice.

**Theorem 1** [1]. *Let  $\mathbf{A}$  be a finite modular lattice. Then  $\lambda_0(\mathbf{A}) = \ell(\mathbf{A})$  if and only if there is an endomorphism  $f$  on  $\mathbf{A}$  such that  $0 = f^{\lambda(\mathbf{A})}(1) \prec f^{\lambda(\mathbf{A})-1}(1) \prec \dots \prec f(1) \prec 1$ ; moreover,  $f$  is connected.*

3. A PRE-PERIOD OF THE GLUED SUM

In this section, we will start from obvious basic properties of a lattice.

**Lemma 2.** *Let  $\Theta$  be a congruence on a lattice  $\mathbf{L}$  and let  $\mathbf{L}^\partial$  be the dual of  $\mathbf{L}$ .*

1. *If  $\mathbf{L}$  is bounded, then  $\lambda_0(\mathbf{L}) = \lambda_1(\mathbf{L}^\partial)$ .*
2. *If  $x \prec y$  in  $\mathbf{L}$ , then  $x/\Theta \preceq y/\Theta$  in  $\mathbf{L}/\Theta$ .*

**Theorem 3.** *Let  $\mathbf{L}$  be a finite modular lattice. Then*

$$\lambda_\alpha(\mathbf{L}) \leq \lambda(\mathbf{L}) \leq \ell(\mathbf{L})$$

for all  $\alpha \in L$ .

**Proof.** The first inequality is trivial. Let  $f : \mathbf{L} \rightarrow \mathbf{L}$  be an endomorphism and  $\mathbf{L}_n = f^n(\mathbf{L})$  for all  $n \geq 0$ . Then the restriction function  $f|_{\mathbf{L}_n} : \mathbf{L}_n \rightarrow \mathbf{L}_{n+1}$  is an onto homomorphism. By the Homomorphism Theorem,  $\mathbf{L}_{n+1} \cong \mathbf{L}_n/\Theta_n$  where  $\Theta_n = \ker(f|_{\mathbf{L}_n})$ . So, if  $\Theta_n \neq \Delta_{\mathbf{L}_n}$ , then  $|\mathbf{L}_{n+1}| < |\mathbf{L}_n|$  by finiteness which implies that  $n < \lambda(f)$ . Thus,

(1)  $\lambda(f)$  is the smallest  $n$  such that  $\Theta_n = \Delta_{\mathbf{L}_n}$ .

We will show that

$$(2) \quad \text{if } \Theta_n \neq \Delta_{\mathbf{L}_n}, \text{ then } \ell(\mathbf{L}_n) > \ell(\mathbf{L}_{n+1}).$$

Suppose that  $(a, b) \in \Theta_n$  with  $a \prec b$ . Then  $a$  and  $b$  are in a maximal chain  $C = \{0_{\mathbf{L}_n} = c_0 \prec c_1 \prec \dots \prec c_k = 1_{\mathbf{L}_n}\}$  where  $k = \ell(\mathbf{L}_n)$  since any two maximal chains of a finite modular lattice have the same cardinality. Lemma 2 and  $a/\Theta_n = b/\Theta_n$  imply that

$$C' = \{c_0/\Theta_n \preceq c_1/\Theta_n \preceq \dots \preceq c_k/\Theta_n\}$$

is a maximal chain in  $\mathbf{L}_{n+1}$  with  $\ell(\mathbf{L}_{n+1}) = \ell(C') < k = \ell(\mathbf{L}_n)$ . By (1) and (2),

$$(3) \quad \text{if } \lambda(f) = n, \text{ then } \ell(\mathbf{L}) > \ell(\mathbf{L}_1) > \dots > \ell(\mathbf{L}_{n-1}) > \ell(\mathbf{L}_n) \geq 0$$

which implies that  $n \leq \ell(\mathbf{L}_0)$ . Hence,  $\lambda(\mathbf{L}) \leq \ell(\mathbf{L})$ . ■

*Remark 4.* Theorem 3 is not true for some infinite modular lattices; for example,  $\lambda(\mathbf{1} \oplus \mathbb{N} \oplus \mathbf{1}) = \infty$  but  $\ell(\mathbf{1} \oplus \mathbb{N} \oplus \mathbf{1}) = 2$ .

**Example 5.** Let  $\mathbf{L}$  be the lattice which is shown in Figure 1. One can see that the map  $f : L \rightarrow L$  defined by  $f(0) = 0$ ,  $f(1) = 1$ ,  $f(b_i) = a_i$  and  $f(a_i) = b_{i-1}$  for  $1 \leq i \leq 4$  where  $b_0 = b_1$  is an endomorphism of  $\mathbf{L}$ . Moreover,

$$\lambda(\mathbf{L}) \geq \lambda(f) = 6 > 5 = \ell(\mathbf{L}).$$

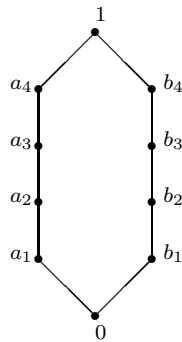


Figure 1. A non-modular lattice  $\mathbf{L}$  with  $\lambda(\mathbf{L}) > \ell(\mathbf{L})$ .

**Corollary 6.** *Let  $\mathbf{L}$  be a finite modular lattice. Then*

$$\mathbf{L} \text{ has the MPP if and only if either } \lambda_0(\mathbf{L}) = \ell(\mathbf{L}) \text{ or } \lambda_1(\mathbf{L}) = \ell(\mathbf{L}).$$

**Proof.** Suppose that  $f : \mathbf{L} \rightarrow \mathbf{L}$  is an endomorphism with  $\lambda(f) = \ell(\mathbf{L}) := n$ . By (3), we get  $\ell(\mathbf{L}_n) = 0$ ; that is,  $f^n(\mathbf{L}) = \mathbf{L}_n = \{\alpha\}$  for some  $\alpha \in \mathbf{L}$ . So,  $f^n(x) = \alpha = f^n(y)$  for all  $x, y \in \mathbf{L}$ . Hence,  $f$  is connected with  $f(\alpha) = \alpha$ . For  $i \in \{0, 1\}$ , let  $k_i = \min \{m \in \mathbb{N} \cup \{0\} \mid f^m(i) = \alpha\}$  and  $k = \max \{k_0, k_1\}$ . Then

$$0 < f(0) < \dots < f^{k_0}(0) = \alpha = f^{k_1}(1) < \dots < f(1) < 1$$

and

$$\alpha = f^k(0) \leq f^k(x) \leq f^k(1) = \alpha$$

for all  $x \in \mathbf{L}$ . So,  $\lambda(f) = k$  and  $k_0 + k_1 \leq \ell(\mathbf{L})$ .

If  $k = k_1$ , then  $k_0 = 0$ ; that is,  $f$  fixes 0; and so,

$$\ell(\mathbf{L}) = \lambda(f) \leq \lambda_0(\mathbf{L}) \leq \ell(\mathbf{L}).$$

Similarly, if  $k = k_0$ , then  $\lambda_1(\mathbf{L}) = \ell(\mathbf{L})$ . The converse is clear by the fact that  $\max \{\lambda_0(\mathbf{L}), \lambda_1(\mathbf{L})\} \leq \lambda(\mathbf{L}) \leq \ell(\mathbf{L})$ . ■

**Lemma 7.** *Let  $\mathbf{A}$  and  $\mathbf{B}$  be finite lattices and let  $\mathbf{D} = \mathbf{A} \dot{+} \mathbf{B}$ . If  $f$  is a connected endomorphism on  $\mathbf{D}$  fixing  $0_{\mathbf{D}}$ , then  $f|_{\mathbf{A}}$  is a connected endomorphism on  $\mathbf{A}$  fixing  $0_{\mathbf{A}}$ . And if  $\mathbf{A}$  is non-trivial, then  $B$  is not closed under  $f$ .*

**Proof.** It suffices to show that  $A$  is closed under  $f$ . It is clear that  $f$  preserves  $\leq$ . If  $f(1_{\mathbf{A}}) > 1_{\mathbf{A}}$ , then by uniqueness of the fix-point  $0_{\mathbf{D}}$ ,

$$1_{\mathbf{A}} < f(1_{\mathbf{A}}) < f^2(1_{\mathbf{A}}) < \dots < f^{\lambda(\mathbf{D})}(1_{\mathbf{A}}) \leq f^{\lambda(\mathbf{D})}(1_{\mathbf{D}}) = 0_{\mathbf{D}} = 0_{\mathbf{A}},$$

a contradiction. Hence,  $f(1_{\mathbf{A}}) \leq 1_{\mathbf{A}}$  which implies that  $f(x) \leq f(1_{\mathbf{A}}) \leq 1_{\mathbf{A}}$  for all  $x \in A$ ; that is,  $f(A) \subseteq A$ . Moreover, if  $\mathbf{A}$  is non-trivial, then  $f(0_{\mathbf{B}}) = f(1_{\mathbf{A}}) < 1_{\mathbf{A}}$ ; thus,  $f(0_{\mathbf{B}}) \notin B$ . ■

**Theorem 8.** *Let  $\mathbf{A}$  and  $\mathbf{B}$  be non-trivial finite modular lattices. Then  $\mathbf{A} \dot{+} \mathbf{B}$  has the MPP if and only if either*

1.  $\lambda_0(\mathbf{A}) = \ell(\mathbf{A})$  and  $\mathbf{B}$  is a chain, or
2.  $\lambda_1(\mathbf{B}) = \ell(\mathbf{B})$  and  $\mathbf{A}$  is a chain.

**Proof.** First, we will show that

$$\lambda_0(\mathbf{A} \dot{+} \mathbf{B}) = \ell(\mathbf{A} \dot{+} \mathbf{B}) \text{ if and only if } \lambda_0(\mathbf{A}) = \ell(\mathbf{A}) \text{ and } \mathbf{B} \text{ is a chain.}$$

For convenience, let  $\mathbf{D} = \mathbf{A} \dot{+} \mathbf{B}$ .

( $\Rightarrow$ ) Let  $\lambda_0(\mathbf{D}) = \ell(\mathbf{D})$ . By Theorem 1, there is a connected endomorphism  $f$  on  $\mathbf{D}$  fixing  $0_{\mathbf{D}}$  such that

$$0_{\mathbf{D}} = f^{\lambda(\mathbf{D})}(1_{\mathbf{D}}) < f^{\lambda(\mathbf{D})-1}(1_{\mathbf{D}}) < \dots < f(1_{\mathbf{D}}) < 1_{\mathbf{D}}.$$

Suppose that  $m$  is the greatest non-negative number with  $f^m(1_{\mathbf{D}}) \geq 1_{\mathbf{A}}$ . As  $f^m(1_{\mathbf{D}}) \geq 1_{\mathbf{A}} > f^{m+1}(1_{\mathbf{D}})$  and  $f^m(1_{\mathbf{D}}) \succ f^{m+1}(1_{\mathbf{D}})$ , we have  $f^m(1_{\mathbf{D}}) = 1_{\mathbf{A}}$ . By Lemma 7,  $f_{\downarrow A}$  is an endomorphism on  $\mathbf{A}$  fixing  $0_{\mathbf{A}}$  with  $f_{\downarrow A}^i(1_{\mathbf{A}}) = f^i(f^m(1_{\mathbf{D}})) \prec f^{i+1}(f^m(1_{\mathbf{D}}))$  for all  $0 \leq i \leq k - m - 1$  which implies by Theorem 1 that  $\lambda_0(\mathbf{A}) = \ell(\mathbf{A})$ . Next, we will show that  $f^{m-1}(1_{\mathbf{D}})$  is the unique atom of  $\mathbf{B}$ . Suppose that  $a$  is an atom of  $\mathbf{B}$  with  $a \neq f^{m-1}(1_{\mathbf{D}})$ . Then  $a \wedge f^{m-1}(1_{\mathbf{D}}) = f^m(1_{\mathbf{D}})$  which implies that  $f(a) \wedge f^m(1_{\mathbf{D}}) = f^{m+1}(1_{\mathbf{D}})$ . If  $f(a) \geq f^m(1_{\mathbf{D}}) = 0_{\mathbf{B}}$ , then  $f^m(1_{\mathbf{D}}) = f^{m+1}(1_{\mathbf{D}})$ , a contradiction. So,  $f(a) < f^m(1_{\mathbf{D}})$  which implies that  $f(a) = f^{m+1}(1_{\mathbf{D}})$ . Let  $k$  be the greatest non-negative integer such that  $a < f^k(1_{\mathbf{D}})$ . Then  $k \leq m-2$ . Since  $f^{k+1}(1_{\mathbf{D}}) \prec f^k(1_{\mathbf{D}})$ , we get  $a \vee f^{k+1}(1_{\mathbf{D}}) = f^k(1_{\mathbf{D}})$  which implies that

$$f^{k+1}(1_{\mathbf{D}}) = f(a) \vee f^{k+2}(1_{\mathbf{D}}) = f^{m+1}(1_{\mathbf{D}}) \vee f^{k+2}(1_{\mathbf{D}}).$$

Since  $k \leq m - 2$ , we get  $k + 2 \leq m < m + 1$ ; and so,  $f^{m+1}(1_{\mathbf{D}}) \leq f^{k+2}(1_{\mathbf{D}})$ . It follows that  $f^{k+2}(1_{\mathbf{D}}) = f^{m+1}(1_{\mathbf{D}})$ , a contradiction. So,  $\mathbf{B}$  has the unique atom which implies that  $\mathbf{B} = \mathbf{2} \dot{+} \mathbf{B}_1$  for some lattice  $\mathbf{B}_1$ . Similarly,  $\mathbf{B}_1$  has the unique atom. If we continue in this way, we get that  $\mathbf{B}$  is a chain.

( $\Leftarrow$ ) Assume that there is an endomorphism  $f_A$  on  $\mathbf{A}$  with  $f_A^{i-1}(1_{\mathbf{A}}) \succ f_A^i(1_{\mathbf{A}})$  for all  $1 \leq i \leq n$  and  $\mathbf{B} = \{1_{\mathbf{B}} = b_m \succ b_{m-1} \succ \cdots \succ b_0 = 0_{\mathbf{B}}\}$ . Define a unary operation  $f_D$  on  $D$  by

$$f_D(x) = \begin{cases} b_{i-1} & \text{if } x = b_i \text{ for some } 1 \leq i \leq m, \\ f_A(x) & \text{if } x \in A. \end{cases}$$

It is clear that  $f_D$  is an endomorphism on  $\mathbf{D}$  such that  $f_D^{i-1}(1_{\mathbf{D}}) \succ f_D^i(1_{\mathbf{D}})$  for all  $1 \leq i \leq m + n$ . Hence,  $\lambda_0(\mathbf{D}) = \ell(\mathbf{D})$ .

Observe that  $\lambda_1(\mathbf{A} \dot{+} \mathbf{B}) = \lambda_0(\mathbf{A} \dot{+} \mathbf{B})^\partial = \lambda_0(\mathbf{B}^\partial \dot{+} \mathbf{A}^\partial)$ . Hence,

$$\begin{aligned} \lambda_1(\mathbf{A} \dot{+} \mathbf{B}) = \ell(\mathbf{A} \dot{+} \mathbf{B}) &\Leftrightarrow \lambda_0(\mathbf{B}^\partial) = \ell(\mathbf{B}) \text{ and } \mathbf{A}^\partial \text{ is a chain} \\ &\Leftrightarrow \lambda_1(\mathbf{B}) = \ell(\mathbf{B}) \text{ and } \mathbf{A} \text{ is a chain.} \end{aligned}$$

By Corollary 6, we are done.  $\blacksquare$

**Example 9.** The lattice  $\mathbf{D} = \mathbf{2}^2 \dot{+} \mathbf{2}^3$  is shown as Figure 2. Since  $\mathbf{2}^2$  and  $\mathbf{2}^3$  are not chains, we get  $\lambda(\mathbf{2}^2 \dot{+} \mathbf{2}^3) < \ell(\mathbf{2}^2 \dot{+} \mathbf{2}^3) = 5$ . We can find an endomorphism  $f : \mathbf{D} \rightarrow \mathbf{D}$ , defined by

$$f(x) = \begin{cases} (a_2, a_3, 1) & \text{if } x = (a_1, a_2, a_3) \text{ for some } a_1, a_2, a_3 \in \{0, 1\}, \\ (0, 0, 1) & \text{if } x = (1, a) \text{ for some } a \in \{0, 1\}, \\ (0, 0, 0) & \text{if } x = (0, a) \text{ for some } a \in \{0, 1\}, \end{cases}$$

where  $\mathbf{2}^3 = \{(a_1, a_2, a_3) \mid a_1, a_2, a_3 \in \{0, 1\}\}$  and  $\mathbf{2}^2 = \{(a_1, a_2) \mid a_1, a_2 \in \{0, 1\}\}$ . Hence,  $\lambda(f) = 4$  which implies  $\lambda(\mathbf{2}^2 \dot{+} \mathbf{2}^3) = 4$ .

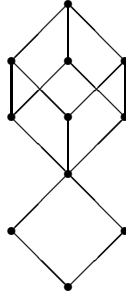


Figure 2. The glued sum  $\mathbf{2}^2 \dot{+} \mathbf{2}^3$ .

**Theorem 10.** *Let  $\mathbf{A}$  and  $\mathbf{B}$  be finite lattices. Then*

$$\lambda_i(\mathbf{A} \dot{+} \mathbf{B}) \leq \lambda_i(\mathbf{A}) + \lambda_i(\mathbf{B})$$

and

$$\lambda(\mathbf{A} \dot{+} \mathbf{B}) \leq \lambda(\mathbf{A}) + \lambda(\mathbf{B})$$

for  $i \in \{0, 1\}$ .

**Proof.** First, we will consider  $i = 0$ . Let  $\mathbf{D} = \mathbf{A} \dot{+} \mathbf{B}$  and  $f_D : D \rightarrow D$  be an endomorphism. To prove the second inequality, we assume that  $k_a$  and  $k_b$  stands for  $\lambda(\mathbf{A})$  and  $\lambda(\mathbf{B})$ , respectively. For the first inequality,  $k_a$  and  $k_b$  stands for  $\lambda_0(\mathbf{A})$  and  $\lambda_0(\mathbf{B})$ , respectively. From now on, the argument for the two inequalities are (almost) the same: we need to show that  $f^{k_a+k_b}(\mathbf{D}) = f^{k_a+k_b+1}(\mathbf{D})$ . Let  $\beta := 1_{\mathbf{A}} = 0_{\mathbf{B}}$ . Note that if  $f$  is connected with  $f(0_{\mathbf{D}}) = 0_{\mathbf{D}}$ , then  $f(\beta) < \beta$ .

*Case (i).*  $f(\beta) = \beta$ . Then  $f(A) \subseteq A$  and  $f(B) \subseteq B$  since  $f$  is order-preserving. Thus,  $f^{\max\{k_a, k_b\}}(\mathbf{D}) = f^{\max\{k_a, k_b\}+1}(\mathbf{D})$  and we are done since  $\max\{k_a, k_b\} \leq k_a + k_b$ .

*Case (ii).*  $f(\beta) \neq \beta$ . Since  $\beta$  is comparable with all elements of  $\mathbf{D}$ , either  $f(\beta) > \beta$  or  $f(\beta) < \beta$ . By duality, we may assume that  $f(\beta) < \beta$ . So,  $f(A) \subseteq A$ . Let  $f_A = f \downarrow_A$ . Since  $f$  is order-preserving and  $\beta$  is comparable with all elements of  $\mathbf{D}$ , the map  $g : B \rightarrow B$  defined by

$$g(x) = \begin{cases} f(x) & \text{if } f(x) > \beta, \\ \beta & \text{if } f(x) \leq \beta. \end{cases}$$

is an endomorphism of  $\mathbf{B}$ . Note that if  $f$  is connected with  $f(0_{\mathbf{D}}) = 0_{\mathbf{D}}$ , then so are  $f_A$  and  $g$  with  $f_A(0_{\mathbf{A}}) = 0_{\mathbf{A}}$  and  $g(0_{\mathbf{B}}) = 0_{\mathbf{B}}$ . Let  $P$  be the set of elements  $x \in B$  with  $f^n(x) > \beta$  for all  $n \in \mathbb{N}$  and  $N := B \setminus P$ . Clearly,  $f \downarrow_P = g \downarrow_P$  is

closed under  $P$  and  $f(N) \cap P = \emptyset$  and  $N \cap f^i(P) = \emptyset$  for all  $i \geq 0$ . We will show that

$$g^{k_b}(P) = g^{k_b+1}(P) \text{ and } g^{k_b}(N) = \{\beta\}.$$

Since  $\mathbf{B}$  is finite, there exists  $t$  with  $g^t(N) = \{\beta\}$ . Let  $T = \min\{t \in \mathbb{N} \mid g^t(N) = \{\beta\}\}$ . Then for  $t < T$ ,  $g^{t+1}(B) = g^{t+1}(P) \cup g^{t+1}(N) \subsetneq g^t(P) \cup g^t(N) = g^t(B)$ ; and so,  $T \leq \lambda(g) \leq k_b$ . So,  $g^{k_b}(N) = \{\beta\}$ . Since

$$g^{k_b}(P) \cup g^{k_b}(N) = g^{k_b}(B) = g^{k_b+1}(B) = g^{k_b+1}(P) \cup g^{k_b+1}(N)$$

and  $\beta \notin g^{k_b}(P)$ , we get  $g^{k_b}(P) = g^{k_b+1}(P)$ . Hence,  $f^{k_a+k_b}(P) = f^{k_a+k_b+1}(P)$  and  $f^{k_b}(N) \subseteq A$ . So,

$$\begin{aligned} f^{k_a+k_b}(N \cup A) &= f^{k_a+k_b}(N \cup A) \\ &= f^{k_a}(f^{k_b}(N \cup A)) = f_A^{k_a}(f^{k_b}(N \cup A)) \\ &= f_A^{k_a}(f^{k_b}(N)) \cup f_A^{k_a}(f^{k_b}(A)) \\ &= f^{k_a}(f^{k_b+1}(N)) \cup f^{k_b}(f_A^{k_a+1}(A)) \\ &= f^{k_a+k_b+1}(N \cup A). \end{aligned}$$

So,  $f^{k_a+k_b}(\mathbf{D}) = f^{k_a+k_b+1}(\mathbf{D})$ . This implies that  $\lambda(\mathbf{A} \dot{+} \mathbf{B}) \leq \lambda(\mathbf{A}) + \lambda(\mathbf{B})$  and  $\lambda_0(\mathbf{A} \dot{+} \mathbf{B}) \leq \lambda_0(\mathbf{A}) + \lambda_0(\mathbf{B})$ ; and so,

$$\lambda_1(\mathbf{A} \dot{+} \mathbf{B}) = \lambda_0(\mathbf{A} \dot{+} \mathbf{B})^\partial = \lambda_0(\mathbf{B}^\partial \dot{+} \mathbf{A}^\partial) \leq \lambda_0(\mathbf{A}^\partial) + \lambda_0(\mathbf{B}^\partial) = \lambda_1(\mathbf{A}) + \lambda_1(\mathbf{B}). \quad \blacksquare$$

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