

## GENERALIZED DERIVATIONS WITH LEFT ANNIHILATOR CONDITIONS IN PRIME AND SEMIPRIME RINGS

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### Abstract

Let  $R$  be a prime ring with its Utumi ring of quotients  $U$ ,  $C = Z(U)$  be the extended centroid of  $R$ ,  $H$  and  $G$  two generalized derivations of  $R$ ,  $L$  a noncentral Lie ideal of  $R$ ,  $I$  a nonzero ideal of  $R$ . The left annihilator of  $S \subseteq R$  is denoted by  $l_R(S)$  and defined by  $l_R(S) = \{x \in R \mid xS = 0\}$ . Suppose that  $S = \{H(u^n)u^n + u^nG(u^n) \mid u \in L\}$  and  $T = \{H(x^n)x^n + x^nG(x^n) \mid x \in I\}$ , where  $n \geq 1$  is a fixed integer. In the paper, we investigate the cases when the sets  $l_R(S)$  and  $l_R(T)$  are nonzero.

**Keywords:** prime ring, derivation, Lie ideal, generalized derivation, extended centroid, Utumi quotient ring.

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### 1. INTRODUCTION

Let  $R$  be an associative ring with center  $Z(R)$ . For  $x, y \in R$ , the commutator of  $x, y$  is denoted by  $[x, y]$  and defined by  $[x, y] = xy - yx$ . By  $d$  we mean a derivation of  $R$ . An additive mapping  $F$  from  $R$  to  $R$  is called a generalized derivation if there exists a derivation  $d$  from  $R$  to  $R$  such that  $F(xy) = F(x)y + xd(y)$  holds for all  $x, y \in R$ .

Throughout this paper,  $R$  will always present a prime ring with center  $Z(R)$ , extended centroid  $C$  and  $U$  is its Utumi quotient ring. A well known result proved by Posner [20], states that if the commutators  $[d(x), x] \in Z(R)$  for all  $x \in R$ , then either  $d = 0$  or  $R$  is commutative. Then result of Posner was generalized in many

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directions by a number of authors. Posner's theorem was extended to Lie ideals in prime rings by Lee [17] and then by Lanski [12].

On the other hand, authors generalized Posner's theorem by considering two derivations. In [3], Brešar proved that if  $d$  and  $\delta$  are two derivations of  $R$  such that  $d(x)x - x\delta(x) \in Z(R)$  for all  $x \in R$ , then either  $d = \delta = 0$  or  $R$  is commutative. Later Lee and Wong [18] consider the situation  $d(x)x - x\delta(x) \in Z(R)$  for all  $x$  in some noncentral Lie ideal  $L$  of  $R$  and they proved that either  $d = \delta = 0$  or  $R$  satisfies  $s_4$ .

Recently in [22] Vukman proves that if  $d$  and  $\delta$  are derivations on a  $2mn(m+n-1)!$ -torsion free semiprime rings  $R$  such that  $d(x^m)x^n + x^n\delta(x^m) = 0$  for all  $x \in R$ , where  $m, n \geq 1$  are fixed integers, then both derivations  $d$  and  $\delta$  map  $R$  into its center and  $d = -\delta$ .

In [23], Wei and Xiao studied the similar situation replacing derivations  $d$  and  $\delta$  by generalized derivations  $G$  and  $H$ . More precisely they proved the following:

*Let  $m, n$  be fixed positive integers,  $R$  be a noncommutative  $2(m+n)!$ -torsion free prime ring and  $G, H$  be a pair of generalized Jordan derivations on  $R$ . If  $G(x^m)x^n + x^nH(x^m) \in Z(R)$  for all  $x \in R$ , then  $G$  and  $H$  both are right (or left) multipliers.*

In [14], Lee and Zhou studied the same situation of above result without considering torsion free restriction on  $R$ . In this paper, Lee and Zhou [14] proved the following:

*Let  $R$  be a prime ring that is not commutative and such that  $R \not\cong M_2(GF(2))$ , let  $G, H$  be two generalized derivations of  $R$ , and let  $m, n$  be two fixed positive integers. Then  $G(x^m)x^n - x^nH(x^m) = 0$  for all  $x \in R$  iff the following two conditions hold:*

- (1) *There exists  $w \in Q$  such that  $G(x) = xw$  and  $H(x) = wx$  for all  $x \in R$ ;*
- (2) *either  $w \in C$ , or  $x^m$  and  $x^n$  are  $C$ -dependent for all  $x \in R$ .*

There are many papers in the literature which studied the identities of generalized derivations with left annihilator conditions.

For any subset  $S$  of  $R$ , denote by  $r_R(S)$  the right annihilator of  $S$  in  $R$ , that is,  $r_R(S) = \{x \in R \mid Sx = 0\}$  and  $l_R(S)$  the left annihilator of  $S$  in  $R$  that is,  $l_R(S) = \{x \in R \mid xS = 0\}$ . If  $r_R(S) = l_R(S)$ , then  $r_R(S)$  is called an annihilator ideal of  $R$  and is written as  $ann_R(S)$ .

In [4], Carini *et al.* studied the left annihilator of the set  $\{H(u)u - uG(u) \mid u \in L\}$ , where  $L$  is a noncentral Lie ideal of  $R$  and  $H, G$  two non-zero generalized derivations of  $R$ . In case the annihilator is not zero, the conclusion is one of the following:

- (1) *there exist  $b', c' \in U$  such that  $H(x) = b'x + xc'$ ,  $G(x) = c'x$  with  $ab' = 0$ ;*
- (2)  *$R$  satisfies  $s_4$  and there exist  $b', c', q' \in U$  such that  $H(x) = b'x + xc'$ ,  $G(x) = c'x + xq'$ , with  $a(b' - q') = 0$ .*

Recently, Carini and De Filippis proved the following theorem:

*Let  $R$  be a prime ring,  $U$  the Utumi quotient ring of  $R$ ,  $C = Z(U)$  the extended centroid of  $R$ ,  $L$  a non-central Lie ideal of  $R$ ,  $H$  and  $G$  non-zero generalized derivations of  $R$ . Suppose that there exists an integer  $n \geq 1$  such that  $H(u^n)u^n + u^nG(u^n) \in C$ , for all  $u \in L$ , then either there exists  $a \in U$  such that  $H(x) = xa, G(x) = -ax$ , or  $R$  satisfies the standard identity  $s_4$ . Moreover, in the last case the structures of the maps  $G, H$  are obtained.*

In the present paper, we shall investigate the left annihilator of the sets  $\{H(u^n)u^n + u^nG(u^n) \mid u \in L\}$  and  $\{H(x^n)x^n + x^nG(x^n) \mid x \in I\}$ , where  $L$  is a noncentral Lie ideal of  $R$ ,  $I$  is a nonzero ideal of  $R$ ,  $n \geq 1$  is a fixed integer and  $H, G$  two non-zero generalized derivations of  $R$ . More precisely, we prove the following theorems:

**Theorem 1.1.** *Let  $R$  be a prime ring with its Utumi ring of quotients  $U$ ,  $C = Z(U)$  be the extended centroid of  $R$ ,  $H$  and  $G$  two generalized derivations of  $R$ ,  $L$  a noncentral Lie ideal of  $R$  and  $S = \{H(u^n)u^n + u^nG(u^n) \mid u \in L\}$ , where  $n \geq 1$  is a fixed integer. If  $l_R(S) \neq \{0\}$ , then either there exist  $b', p \in U$  such that  $H(x) = b'x - xp$  and  $G(x) = px$  for all  $x \in R$  with  $ab' = 0$  or  $R$  satisfies  $s_4$ . Moreover, in the last case, if  $R$  satisfies  $s_4$ , then one of the following holds:*

- (1)  $\text{char}(R) = 2$ ;
- (2)  $n$  is even, there exist  $b, p \in U$  and derivations  $d, \delta$  of  $R$  such that  $H(x) = bx + d(x)$  and  $G(x) = px + \delta(x)$  for all  $x \in R$ , with  $a(b + p) = 0$ ;
- (3)  $n$  is odd, there exist  $b, p \in U$  and derivations  $d, \delta$  of  $R$  such that  $H(x) = bx + d(x)$  and  $G(x) = xp + \delta(x)$  for all  $x \in R$ , with  $a(b + p) = 0$ .

**Theorem 1.2.** *Let  $R$  be a noncommutative prime ring with  $\text{char}(R) \neq 2$ ,  $U$  its Utumi ring of quotients,  $C = Z(U)$  be the extended centroid of  $R$ ,  $H$  and  $G$  two generalized derivations of  $R$ ,  $I$  a nonzero ideal of  $R$  and  $S = \{H(x^n)x^n + x^nG(x^n) \mid x \in I\}$ , where  $n \geq 1$  is a fixed integer. If  $l_R(S) \neq \{0\}$ , then there exist  $b', p \in U$  such that  $H(x) = b'x - xp$  and  $G(x) = px$  for all  $x \in R$  with  $ab' = 0$ .*

As an immediate application of the Theorem 1.1, in particular when  $G = -H$ , then we have the following result which gives a particular result of Theorem 1.1 in [6].

**Corollary 1.3.** *Let  $R$  be a prime ring with its Utumi ring of quotients  $U$ ,  $C = Z(U)$  be the extended centroid of  $R$ ,  $H$  a generalized derivation of  $R$  and  $L$  a noncentral Lie ideal of  $R$ . Suppose that there exists  $0 \neq a \in R$  such that  $a[H(u^n), u^n] = 0$  for all  $u \in L$ , where  $n \geq 1$  is a fixed integer. Then either there exists  $\lambda \in C$  such that  $H(x) = \lambda x$  for all  $x \in R$  or  $R$  satisfies  $s_4$ .*

As an application of the Theorem 1.1, in particular when  $G = 0$ , then using

Theorem 2.2 in [8], we have the following result which gives a generalization of Theorem 1.1 in [21].

**Corollary 1.4.** *Let  $R$  be a prime ring of char  $(R) \neq 2$  with its Utumi ring of quotients  $U$ ,  $C = Z(U)$  be the extended centroid of  $R$ ,  $H$  a generalized derivation of  $R$  and  $L$  a noncentral Lie ideal of  $R$ . Suppose that there exists  $0 \neq a \in R$  such that  $aH(u^n)u^n = 0$  for all  $u \in L$ , where  $n \geq 1$  is a fixed integer. Then either there exist  $b', p \in U$  such that  $H(x) = b'x$  for all  $x \in R$  with  $ab' = 0$ .*

## 2. PROOF OF MAIN RESULTS IN PRIME RINGS

Let  $R$  be a prime ring with extended centroid  $C$ . Let  $H(x) = bx + xc$  and  $G(x) = px + xq$  for all  $x \in R$  and for some  $b, c, p, q \in U$ , be two inner generalized derivations of  $R$  and  $L$  be a noncentral Lie ideal of  $R$ . Then  $a(H(x^n)x^n + x^nG(x^n)) = 0$  implies  $a(bx^{2n} + x^n(c+p)x^n + x^{2n}q) = 0$  for all  $x \in L$ . We know that if char  $(R) \neq 2$ , by [2, Lemma 1] there exists a nonzero ideal  $I$  of  $R$  such that  $0 \neq [I, R] \subseteq L$ . If char  $(R) = 2$  and  $\dim_C RC > 4$  i.e., char  $(R) = 2$  and  $R$  does not satisfy  $s_4$ , then by [13, Theorem 13] there exists a nonzero ideal  $I$  of  $R$  such that  $0 \neq [I, R] \subseteq L$ . We assume that  $R$  does not satisfy  $s_4$ . Then in any case of char  $(R) = 2$  or char  $(R) \neq 2$ , we can conclude that there exists a nonzero ideal  $I$  of  $R$  such that  $0 \neq [I, I] \subseteq L$ . By hypothesis, we have

$$(1) \quad a(b[x_1, x_2]^{2n} + [x_1, x_2]^n(c+p)[x_1, x_2]^n + [x_1, x_2]^{2n}q) = 0$$

for all  $x_1, x_2 \in I$ . Then following lemmas are immediate consequences:

**Lemma 2.1.**  *$R$  satisfies a nontrivial generalized polynomial identity (GPI) or  $c, p, q \in C$  such that  $a(b + c + p + q) = 0$ .*

*Proof.* Assume that  $R$  does not satisfy any nontrivial GPI. Then  $R$  must be noncommutative. Let  $T = U *_C C\{x_1, x_2\}$ , the free product of  $U$  and  $C\{x_1, x_2\}$ , the free  $C$ -algebra in noncommuting indeterminates  $x_1$  and  $x_2$ .

Then,

$$a(b[x_1, x_2]^{2n} + [x_1, x_2]^n(c+p)[x_1, x_2]^n + [x_1, x_2]^{2n}q)$$

is zero element in  $T$ . If  $q \notin C$ , then  $q$  and 1 are linearly independent over  $C$ . Then from above

$$a[x_1, x_2]^{2n}q = 0 \in T,$$

implying  $q = 0$ , since  $a \neq 0$ , a contradiction. Therefore, we conclude that  $q \in C$ .

Then by hypothesis

$$(2) \quad a((b + q)[x_1, x_2]^n + [x_1, x_2]^n(c + p))[x_1, x_2]^n = 0 \in T.$$

If  $c + p \notin C$ , then by (2)

$$a([x_1, x_2]^n(c + p)) [x_1, x_2]^n = 0 \in T,$$

implying  $c + p = 0$ , since  $a \neq 0$ , a contradiction. Therefore, we have  $c + p \in C$  and hence

$$a(b + q + c + p)[x_1, x_2]^{2n} = 0 \in T.$$

This implies  $a(b + q + c + p) = 0$ . ■

**Lemma 2.2.**  $c + p, q \in C$  with  $a(b + c + p + q) = 0$ , unless  $R$  satisfies  $s_4$ .

*Proof.* By hypothesis,  $R$  satisfies GPI

$$(3) \quad f(x_1, x_2) = a(b[x_1, x_2]^{2n} + [x_1, x_2]^n(c + p)[x_1, x_2]^n + [x_1, x_2]^{2n}q).$$

If  $R$  does not satisfy any nontrivial GPI, by Lemma 2.1, we obtain  $c, p, q \in C$  with  $a(b + c + p + q) = 0$  which gives the conclusion. So, we assume that  $R$  satisfies a nontrivial GPI. Since  $R$  and  $U$  satisfy the same generalized polynomial identities (see [5]),  $U$  satisfies  $f(x_1, x_2)$ . In case  $C$  is infinite, we have  $f(x_1, x_2) = 0$  for all  $x_1, x_2 \in U \otimes_C \overline{C}$ , where  $\overline{C}$  is the algebraic closure of  $C$ . Moreover, both  $U$  and  $U \otimes_C \overline{C}$  are prime and centrally closed algebras [9]. Hence, replacing  $R$  by  $U$  or  $U \otimes_C \overline{C}$  according to  $C$  finite or infinite, without loss of generality we may assume that  $C = Z(R)$  and  $R$  is  $C$ -algebra centrally closed. By Martindale's theorem [19],  $R$  is then a primitive ring having nonzero socle  $\text{soc}(R)$  with  $C$  as the associated division ring. Hence, by Jacobson's theorem [10, p.75],  $R$  is isomorphic to a dense ring of linear transformations of a vector space  $V$  over  $C$ .

If  $\dim_C V = 2$ , then  $R \cong M_2(C)$ , that is,  $R$  satisfies  $s_4$ , a contradiction. So, let  $\dim_C V \geq 3$ .

We show that for any  $v \in V$ ,  $v$  and  $qv$  are linearly  $C$ -dependent. Suppose that  $v$  and  $qv$  are linearly independent for some  $v \in V$ . Since  $\dim_C V \geq 3$ , there exists  $u \in V$  such that  $v, qv, u$  are linearly  $C$ -independent set of vectors. By density, there exists  $x_1, x_2 \in R$  such that

$$x_1v = v, \quad x_1qv = 0, \quad x_1u = qv; \quad x_2v = 0, \quad x_2qv = u, \quad x_2u = 0.$$

Then  $0 = a(b[x_1, x_2]^{2n} + [x_1, x_2]^n(c + p)[x_1, x_2]^n + [x_1, x_2]^{2n}q)v = aqv$ .

This implies that if for some  $v \in V$ ,  $aqv \neq 0$ , then by contradiction,  $v$  and  $qv$  are linearly  $C$ -dependent.

Now choose  $v \in V$  such that  $v$  and  $qv$  are linearly  $C$ -independent. Then  $aqv = 0$ . Let us consider a subspace  $W = \{\alpha v + \beta qv \mid \alpha, \beta \in C\}$  of  $V$ . Let  $aq \neq 0$ . Then, there exists  $w \in V$  such that  $aqw \neq 0$ . Then  $aq(v-w) = aqw \neq 0$ . Then by the above argument,  $w, qw$  are linearly  $C$ -dependent and  $(v-w), q(v-w)$  too. Thus there exist  $\alpha, \beta \in C$  such that  $qw = \alpha w$  and  $q(v-w) = \beta(v-w)$ . Then  $qv = \beta(v-w) + qw = \beta(v-w) + \alpha w$  i.e.,  $(\alpha - \beta)w = qv - \beta v \in W$ . Now  $\alpha = \beta$  implies that  $qv = \beta v$ , a contradiction. Hence  $\alpha \neq \beta$  and so  $w \in W$ .

Next assume that  $u \in V$  such that  $aqu = 0$ . Then  $aq(w+u) = aqw \neq 0$ . By above argument,  $aq(w+u) \neq 0$  implies  $w+u \in W$ . Since  $w \in W$ , we have  $u \in W$ . Thus it is observed that for any  $v \in V$ ,  $aqv \neq 0$  implies  $v \in W$  and  $aqv = 0$  implies  $v \in W$ . This implies that  $V = W$  i.e.,  $\dim_C V = 2$ , a contradiction.

Thus up to now we have proved that  $v$  and  $qv$  are linearly  $C$ -dependent for all  $v \in V$ , unless  $aq = 0$ . If  $aq \neq 0$ , by standard argument, it follows that  $qv = \lambda v$  for all  $v \in V$  and  $\lambda \in C$  fixed. Then  $(q - \lambda)V = 0$ , implying  $q = \lambda \in C$ .

Now let  $aq = 0$ . Since  $\dim_C V \geq 3$ , there exists  $w \in V$  such that  $v, qv, w$  are linearly  $C$ -independent set of vectors. By density, there exists  $x_1, x_2 \in R$  such that

$$x_1v = v, \quad x_1qv = 0, \quad x_1w = v + qv; \quad x_2v = 0, \quad x_2qv = w, \quad x_2w = 0.$$

Then  $0 = a(b[x_1, x_2]^{2n} + [x_1, x_2]^n(c+p)[x_1, x_2]^n + [x_1, x_2]^{2n}q)v = av$ . Then by above argument, since  $a \neq 0$ ,  $q \in C$ .

Therefore, we have proved that in any case  $q \in C$ . Hence our identity reduces to

$$a(b'[x_1, x_2]^{2n} + [x_1, x_2]^n c'[x_1, x_2]^n) = 0,$$

where  $b' = b + q$  and  $c' = c + p$ .

Now we prove that  $v$  and  $c'v$  are linearly  $C$ -dependent. If possible let  $v$  and  $c'v$  be linearly independent for some  $v \in V$ . Then there exists  $w \in V$  such that  $v, c'v$  and  $w$  are linearly independent over  $C$ . By density there exist  $x_1, x_2 \in R$  such that

$$x_1v = 0, \quad x_1c'v = v, \quad x_1w = 2c'v; \quad x_2v = c'v, \quad x_2c'v = w, \quad x_2w = 0.$$

Then  $0 = a(b'[x_1, x_2]^{2n} + [x_1, x_2]^n c'[x_1, x_2]^n)v = a(b' + c')v$ . As above, this implies either  $a(b' + c') = 0$  or  $c' \in C$ . Let  $a(b' + c') = 0$ . Then we have that  $R$  satisfies  $0 = a[c', [x_1, x_2]^n][x_1, x_2]^n$ . By density there exist  $x_1, x_2 \in R$  such that

$$x_1v = 0, \quad x_1c'v = v, \quad x_1w = c'v; \quad x_2v = c'v, \quad x_2c'v = w, \quad x_2w = 0.$$

Thus  $0 = a[c', [x_1, x_2]^n][x_1, x_2]^n v = ac'v$ . This implies either  $ac' = 0$  or  $c' \in C$ . Let  $ac' = 0$ . Then we have that  $R$  satisfies  $0 = a[x_1, x_2]^n c'[x_1, x_2]^n$ . Again by density there exist  $x_1, x_2 \in R$  such that

$$x_1v = 0, \quad x_1c'v = v, \quad x_1w = v + c'v; \quad x_2v = c'v, \quad x_2c'v = w, \quad x_2w = 0.$$

Thus  $0 = a[x_1, x_2]^n c' [x_1, x_2]^n v = av$ . Since  $a \neq 0$ , this implies  $c' \in C$ . Thus in any case, we have  $c' \in C$ . Hence  $R$  satisfies  $0 = a(b' + c')[x_1, x_2]^{2n}$ , which implies  $a(b' + c') = 0$ . ■

**Proof of Theorem 1.1.** Let  $0 \neq a \in l_R(S)$ . Then  $a(H(u^n)u^n + u^nG(u^n)) = 0$  for all  $u \in L$ . If  $\text{char}(R) = 2$  and  $R$  satisfies  $s_4$ , then we obtain our conclusion (1). So we assume that either  $\text{char}(R) \neq 2$  or  $R$  does not satisfy  $s_4$ . Then by [2, Lemma 1] and [13, Theorem 13], since  $L$  is a noncentral Lie ideal of  $R$ , there exists a nonzero ideal  $I$  of  $R$  such that  $[I, I] \subseteq L$ . Hence, by our hypothesis, we have,

$$a(H([x_1, x_2]^n)[x_1, x_2]^n + [x_1, x_2]^nG([x_1, x_2]^n)) = 0$$

for all  $x_1, x_2 \in I$ . Since  $I, R$  and  $U$  satisfy the same generalized polynomial identities (see [5]) as well as the same differential identities (see [16]), they also satisfy the same generalized differential identities. Hence, by [15],  $U$  satisfies

$$a(H([x_1, x_2]^n)[x_1, x_2]^n + [x_1, x_2]^nG([x_1, x_2]^n)) = 0$$

for all  $x_1, x_2 \in U$ , where  $H(x) = bx + d(x)$  and  $G(x) = px + \delta(x)$ , for some  $b, p \in U$  and derivations  $d$  and  $\delta$  of  $U$ , that is,  $U$  satisfies

$$(4) \quad a(b[x_1, x_2]^{2n} + d([x_1, x_2]^n)[x_1, x_2]^n + [x_1, x_2]^n p[x_1, x_2]^n + [x_1, x_2]^n \delta([x_1, x_2]^n)) = 0.$$

Now we divide the proof into two cases:

*Case I.* Let  $d(x) = [c, x]$  for all  $x \in U$  and  $\delta(x) = [q, x]$  for all  $x \in U$  i.e.,  $d$  and  $\delta$  be inner derivations of  $U$ . Then from (4), we obtain that  $U$  satisfies

$$(5) \quad a((b + c)[x_1, x_2]^{2n} + [x_1, x_2]^n(p - c + q)[x_1, x_2]^n - [x_1, x_2]^{2n}q) = 0.$$

By Lemma 2.2, when  $R$  does not satisfy  $s_4$ , we have  $q, p - c + q \in C$  with  $a(b + p) = 0$ . This implies  $p - c \in C$ . Hence  $H(x) = bx + [c, x] = bx + [p, x] = b'x - xp$ ,  $G(x) = px$  for all  $x \in U$  and so for all  $x \in R$  with  $ab' = 0$ , where  $b' = b + p$ .

Moreover, when  $R$  satisfies  $s_4$  (in this case by assumption  $\text{char}(R) \neq 2$ ), then  $R \subseteq M_2(F)$  and,  $R$  and  $M_2(F)$  satisfy the same GPI, where  $M_2(F)$  is a matrix ring over a field  $F$ . Hence  $M_2(F)$  satisfies  $a((b + c)[x_1, x_2]^{2n} + [x_1, x_2]^n(p - c + q)[x_1, x_2]^n - [x_1, x_2]^{2n}q) = 0$ . Since  $[x, y]^2 \in Z(M_2(F))$  for all  $x, y \in M_2(F)$ ,  $M_2(F)$  satisfies

$$(6) \quad a((b + c - q)[x_1, x_2]^{2n} + [x_1, x_2]^n(p - c + q)[x_1, x_2]^n) = 0.$$

If  $n$  is even, then by choosing  $x_1 = e_{12}, x_2 = e_{21}$ , we have  $0 = a(b + p)$ .

If  $n$  is odd, then  $M_2(F)$  satisfies  $a((b + c - q)[x_1, x_2] + [x_1, x_2](p - c + q))[x_1, x_2]^{2n-1} = 0$ . By Lemma 2.7 in [7], we conclude that  $p - c + q \in Z(R)$  and  $a(b + p) = 0$ .

Thus when  $R$  satisfies  $s_4$ , one of the following holds:

- (i)  $n$  is even and  $a(b + p) = 0$ . In this case,  $H(x) = bx + [c, x]$  and  $G(x) = px + [q, x]$  for all  $x \in R$ , with  $a(b + p) = 0$ . This is our conclusion (2).
- (ii)  $n$  is odd and  $p - c + q \in C$  and  $a(b + p) = 0$ . Hence  $H(x) = bx + [c, x]$  and  $G(x) = px + [q, x] = px - [p - c, x] = xp + [c, x]$  for all  $x \in R$ , with  $a(b + p) = 0$ . This is our conclusion (3).

*Case II.* Next assume that  $d$  and  $\delta$  are not both inner derivations of  $U$ , but they are  $C$ -dependent modulo inner derivations of  $U$ . Suppose  $d = \lambda\delta + ad_c$ , that is,  $d(x) = \lambda\delta(x) + [c, x]$  for all  $x \in U$ , where  $\lambda \in C$ ,  $c \in U$ . Then  $d$  can not be inner derivation of  $U$ . From (4), we have that  $U$  satisfies

$$a \left( b[x_1, x_2]^{2n} + \lambda\delta([x_1, x_2]^n)[x_1, x_2]^n + [c, [x_1, x_2]^n][x_1, x_2]^n + [x_1, x_2]^n p[x_1, x_2]^n + [x_1, x_2]^n \delta([x_1, x_2]^n) \right) = 0.$$

This gives

$$a \left( b[x_1, x_2]^{2n} + \lambda \sum_{i=0}^{n-1} [x_1, x_2]^i \delta([x_1, x_2])[x_1, x_2]^{n-1-i} [x_1, x_2]^n + [c, [x_1, x_2]^n][x_1, x_2]^n + [x_1, x_2]^n p[x_1, x_2]^n + [x_1, x_2]^n \sum_{i=0}^{n-1} [x_1, x_2]^i \delta([x_1, x_2])[x_1, x_2]^{n-1-i} \right) = 0.$$

Then by Kharchenko's theorem [11], we have that  $U$  satisfies

$$(7) \quad a \left( b[x_1, x_2]^{2n} + \lambda \sum_{i=0}^{n-1} [x_1, x_2]^i ([y_1, x_2] + [x_1, y_2])[x_1, x_2]^{n-1-i} [x_1, x_2]^n + [c, [x_1, x_2]^n][x_1, x_2]^n + [x_1, x_2]^n p[x_1, x_2]^n + [x_1, x_2]^n \sum_{i=0}^{n-1} [x_1, x_2]^i ([y_1, x_2] + [x_1, y_2])[x_1, x_2]^{n-1-i} \right) = 0.$$

In particular  $U$  satisfies blended component

$$(8) \quad a \left( b[x_1, x_2]^{2n} + [c, [x_1, x_2]^n][x_1, x_2]^n + [x_1, x_2]^n p[x_1, x_2]^n \right) = 0$$

and

$$(9) \quad a \left( \lambda \sum_{i=0}^{n-1} [x_1, x_2]^i ([y_1, x_2] + [x_1, y_2])[x_1, x_2]^{n-1-i} [x_1, x_2]^n + [x_1, x_2]^n \sum_{i=0}^{n-1} [x_1, x_2]^i ([y_1, x_2] + [x_1, y_2])[x_1, x_2]^{n-1-i} \right) = 0.$$



For  $y_1 = [q, x_1]$  and  $y_2 = [q, x_2]$ , where  $q \notin C$  we have that  $U$  satisfies

$$(10) \quad a([\lambda q, [x_1, x_2]^n][x_1, x_2]^n + [x_1, x_2]^n[q, [x_1, x_2]^n]) = 0.$$

By Lemma 2.2, if  $R$  does not satisfy  $s_4$ , then  $q \in C$ , a contradiction. Hence we conclude that  $R$  satisfies  $s_4$ . Now the relations (8) and (10) are similar to the relation (5). Thus by same argument as given in Case I, when  $R$  satisfies  $s_4$  (in this case  $\text{char}(R)$  must be not equal to 2), one of the following holds:

(i) Let  $n$  be even. Then by (8),  $a(b + p) = 0$ . Thus  $H(x) = bx + d(x)$  and  $G(x) = px + \delta(x)$  for all  $x \in R$ , with  $a(b + p) = 0$ . This is our conclusion (2).

(ii) Let  $n$  be odd. Then by (8),  $p - c \in C$  and  $a(b + p) = 0$ . Again by (10),  $q - \lambda q = q(1 - \lambda) \in C$ . Since  $q \notin C$ , we have  $\lambda = 1$ . Then replacing  $y_1 = x_1$  and  $y_2 = 0$ , (9) gives  $na(\lambda + 1)[x_1, x_2]^{2n} = 0$ , implying  $2na = 0$ . Since  $\text{char}(R) \neq 2$ ,  $na = 0$ . Hence  $H(x) = bx + \lambda\delta(x) + [c, x] = bx + \delta(x) + [c, x]$  and  $G(x) = px + \delta(x) = (p - c)x + cx + \delta(x) = x(p - c) + cx + \delta(x) = xp + \delta(x) + [c, x]$  for all  $x \in R$ . This is our conclusion (3).

The situation when  $\delta = \lambda d + ad_c$  is similar.

Next assume that  $d$  and  $\delta$  are  $C$ -independent modulo inner derivations of  $U$ . Since neither  $d$  nor  $\delta$  is inner, by Kharchenko's Theorem [11], we have from (4) that  $U$  satisfies

$$(11) \quad a\left(b[x_1, x_2]^{2n} + \sum_{i=0}^{n-1} [x_1, x_2]^i([u_1, x_2] + [x_1, u_2])[x_1, x_2]^{n-1-i}[x_1, x_2]^n + [x_1, x_2]^n p[x_1, x_2]^n + [x_1, x_2]^n \sum_{i=0}^{n-1} [x_1, x_2]^i([v_1, x_2] + [x_1, v_2])[x_1, x_2]^{n-1-i}\right) = 0.$$

Then  $U$  satisfies blended component

$$(12) \quad a\left(b[x_1, x_2]^{2n} + [x_1, x_2]^n p[x_1, x_2]^n\right) = 0$$

and

$$(13) \quad a\left([x_1, x_2]^n \sum_{i=0}^{n-1} [x_1, x_2]^i([v_1, x_2] + [x_1, v_2])[x_1, x_2]^{n-1-i}\right) = 0.$$

Replacing  $v_1$  with  $[q, x_1]$  and  $v_2$  with  $[q, x_2]$  for some  $q \notin C$  in (13), we obtain that  $U$  satisfies

$$(14) \quad a([x_1, x_2]^n[q, [x_1, x_2]^n]) = 0.$$

By Lemma 2.2, we have  $q \in C$ , a contradiction, unless  $R$  satisfies  $s_4$ . So we consider the case when  $R$  satisfies  $s_4$ . In this case by same argument of Case I, (12) and (14) together implies that  $n$  is even and  $a(b + p) = 0$ . This gives our conclusion (2). Hence the theorem is proved. ■

**Corollary 2.3.** *Let  $R$  be a prime ring with its Utumi ring of quotients  $U$ ,  $C = Z(U)$  be the extended centroid of  $R$ ,  $H$  and  $G$  two generalized derivations of  $R$  and  $L$  a noncentral Lie ideal of  $R$ . Suppose that there exists  $0 \neq a \in R$  such that  $a(H(u^2)u^2 + u^2G(u^2)) = 0$  for all  $u \in L$ . Then either there exist  $b', p \in U$  such that  $H(x) = b'x - xp$  and  $G(x) = px$  for all  $x \in R$  with  $ab' = 0$  or  $R$  satisfies  $s_4$ . Moreover, if  $R$  satisfies  $s_4$ , then one of the following holds:*

- (1)  $\text{char}(R) = 2$ ;
- (2) there exist  $b, p \in U$  and derivations  $d, \delta$  of  $R$  such that  $H(x) = bx + d(x)$  and  $G(x) = px + \delta(x)$  for all  $x \in R$ , with  $a(b + p) = 0$ .

**Proof of Theorem 1.2.** Let  $0 \neq a \in l_R(S)$ . Then  $a(H(x^n)x^n + x^nG(x^n)) = 0$  for all  $x \in I$ . By Theorem 1.1, we have only to consider the case when  $R$  satisfies  $s_4$ . In this case  $R$  is a PI-ring, and so there exists a field  $K$  such that  $R \subseteq M_2(K)$  and,  $R$  and  $M_2(K)$  satisfy the same GPI. First we assume that  $H$  and  $G$  are inner generalized derivations of  $R$ , that is,  $H(x) = bx + xc$  for all  $x \in R$  and  $G(x) = px + xq$  for all  $x \in R$ , for some  $b, c, p, q \in R$ . Since  $M_2(F)$  is a simple ring, by our hypothesis,  $M_2(F)$  satisfies

$$(15) \quad a(bx^{2n} + x^n(c + p)x^n + x^{2n}q) = 0.$$

Moreover,  $R$  is a dense ring of  $K$ -linear transformations over a vector space  $V$ . Let  $aq \neq 0$ . Assume there exists  $v \neq 0$ , such that  $\{v, qv\}$  is linear  $K$ -independent. By the density of  $R$ , there exists  $r \in R$  such that

$$rv = 0; \quad r(qv) = qv.$$

Hence

$$0 = a(br^{2n} + r^n(c + p)r^n + r^{2n}q)v = aqv.$$

Of course for any  $w \in V$  such that  $\{w, v\}$  are linearly  $K$ -dependent implies  $aqw = 0$ . Since  $aq \neq 0$ , there exists  $w \in V$  such that  $aqw \neq 0$ . Then  $\{w, v\}$  must be linearly  $K$ -independent. By the above argument it follows that  $w$  and  $qw$  are linearly  $K$ -dependent, as are  $\{w + v, q(w + v)\}$  and  $\{w - v, q(w - v)\}$ . Therefore there exist  $\alpha_w, \alpha_{w+v}, \alpha_{w-v} \in K$  such that

$$qw = \alpha_w w, \quad q(w + v) = \alpha_{w+v}(w + v), \quad q(w - v) = \alpha_{w-v}(w - v).$$

In other words we have

$$(16) \quad \alpha_w w + qv = \alpha_{w+v} w + \alpha_{w+v} v$$

and

$$(17) \quad \alpha_w w - qv = \alpha_{w-v} w - \alpha_{w-v} v.$$

By comparing (16) with (17) we get both

$$(18) \quad (2\alpha_w - \alpha_{w+v} - \alpha_{w-v})w + (\alpha_{w-v} - \alpha_{w+v})v = 0$$

and

$$(19) \quad 2qv = (\alpha_{w+v} - \alpha_{w-v})w + (\alpha_{w+v} + \alpha_{w-v})v.$$

By (18) and since  $\{w, v\}$  is  $K$ -independent and  $\text{char}(K) \neq 2$ , we have  $\alpha_w = \alpha_{w+v} = \alpha_{w-v}$ . Thus by (19) it follows  $2qv = 2\alpha_w v$ . Since  $\{qv, v\}$  is  $K$ -independent, the conclusion  $\alpha_w = \alpha_{w+v} = 0$  follows, that is  $qw = 0$  and  $q(w+v) = 0$ , which implies the contradiction  $qv = 0$ .

Hence we conclude that for any  $v \in V$ ,  $\{v, qv\}$  is linearly  $K$ -dependent. Thus there exists a suitable  $\alpha_v \in K$  such that  $qv = \alpha_v v$ , and standard argument shows that there is  $\alpha \in K$  such that  $qv = \alpha v$  for all  $v \in V$ . Now let  $r \in R, v \in V$ . Since  $qv = \alpha v$ ,

$$(20) \quad [q, r]v = (qr)v - (rq)v = q(rv) - r(qv) = \alpha(rv) - r(\alpha v) = 0.$$

Thus  $[q, r]v = 0$  for all  $v \in V$  i.e.,  $[q, r]V = 0$ . Since  $[q, r]$  acts faithfully as a linear transformation on the vector space  $V$ ,  $[q, r] = 0$  for all  $r \in R$ . Therefore,  $q \in C$ .

Thus up to now, we have proved that either  $aq = 0$  or  $q \in C$ .

Let  $aq = 0$ . In this case, assume that there exists  $v \neq 0$ , such that  $\{v, qv\}$  is linear  $K$ -independent. By the density of  $R$ , there exists  $r \in R$  such that

$$rv = 0; \quad r(qv) = v + qv.$$

Hence

$$0 = a(br^{2n} + r^n(c+p)r^n + r^{2n}q)v = av.$$

Thus by the same argument as above, this implies either  $a = 0$  or  $q \in C$ . Since  $a \neq 0, q \in C$ .

Thus in any case we conclude that  $q \in C$ .

Then (15) reduces to

$$(21) \quad a((b+q)x^n + x^n(c+p))x^n = 0.$$

Let there exists  $v \neq 0$ , such that  $\{v, (c+p)v\}$  is linear  $K$ -independent. By the density of  $R$ , there exists  $r \in R$  such that

$$rv = 0; \quad r((c+p)v) = (c+p)v.$$

Hence

$$0 = a((b+q)r^n + r^n(c+p))r^n v = a(c+p)v.$$

Then again by same argument,  $c + p \in C$ . Then (21) reduces to

$$(22) \quad a(b + c + p + q)x^{2n} = 0$$

for all  $x \in R$ . This implies  $a(b + c + p + q) = 0$ , where  $q, c + p \in C$ . Hence  $H(x) = bx + xc = bx + x(c + p) - xp = (b + c + p)x - xp = (b + c + p + q)x - x(p + q)$  for all  $x \in R$  and  $G(x) = (p + q)x$  for all  $x \in R$ . This gives our conclusion.

Next assume that  $H(x) = bx + d(x)$  and  $G(x) = px + \delta(x)$ , where  $d, \delta$  are not both inner derivations of  $R$ . In this case by our hypothesis,  $R$  satisfies

$$(23) \quad a(bx^{2n} + d(x^n)x^n + x^npx^n + x^n\delta(x^n)) = 0.$$

If  $d$  and  $\delta$  are  $C$ -dependent modulo inner derivations of  $R$ , then  $d = \lambda\delta + ad_c$  for some  $\lambda \in C$ . In this case (23) reduces to

$$(24) \quad a(bx^{2n} + \lambda\delta(x^n)x^n + [c, x^n]x^n + x^npx^n + x^n\delta(x^n)) = 0.$$

By Kharchenko's Theorem [11],  $R$  satisfies

$$(25) \quad a\left(bx^{2n} + \lambda \sum_i x^i y x^{n-i-1} x^n + [c, x^n]x^n + x^n p x^n + x^n \sum_i x^i y x^{n-i-1}\right) = 0.$$

Replacing  $y$  with  $[p, x]$  for some  $p \notin C$ , we have from (25) that

$$(26) \quad a(bx^{2n} + \lambda[p, x^n]x^n + [c, x^n]x^n + x^n p x^n + x^n[p, x^n]) = 0.$$

Then this implies as above (for inner derivation case) that  $p \in C$ , a contradiction.

The case when  $\delta = \lambda d + ad_{c'}$  for some  $\lambda \in C$ , is similar.

Next assume that  $d$  and  $\delta$  are  $C$ -independent modulo inner derivations of  $R$ . Then by Kharchenko's Theorem [11],  $R$  satisfies

$$(27) \quad a\left(bx^{2n} + \sum_i x^i y x^{n-i-1} x^n + x^n p x^n + x^n \sum_i x^i z x^{n-i-1}\right) = 0.$$

Replacing  $y$  with  $[p, x]$  and  $z$  with  $[p', x]$  for some  $p, p' \notin C$ , we have

$$(28) \quad a(bx^{2n} + [p, x^n]x^n + x^n p x^n + x^n[p', x^n]) = 0.$$

Then by same argument as above, it yields that  $p' \in C$ , a contradiction. ■

In particular, when  $H$  and  $G$  are two derivations of  $R$ , we have the following:

**Corollary 2.4.** *Let  $R$  be a noncommutative prime ring with  $\text{char}(R) \neq 2$  and  $C$  the extended centroid of  $R$ . Let  $d$  and  $\delta$  be two derivations of  $R$ . If there exists  $0 \neq a \in R$  such that  $a(d(x^n)x^n + x^n\delta(x^n)) = 0$  for all  $x \in R$ , where  $n \geq 1$  is a fixed integer, then  $d = \delta = 0$ .*

## 3. RESULTS ON SEMIPRIME RINGS

In this section we extend the Corollary 2.4 to semiprime rings. Let  $R$  be a semiprime ring and  $U$  the left Utumi ring of quotients of  $R$ . Then  $C = Z(U)$ , center of  $U$ , is called extended centroid of  $R$ . It is well known that  $C$  is a Von Neumann regular ring. It is known that  $C$  is a field if and only if  $R$  is a prime ring. The set of all idempotents of  $C$  is denoted by  $E$ . The elements of  $E$  are called central idempotents.

We know that any derivation of  $R$  can be uniquely extended to a derivation of  $U$  (see [16, Lemma 2]).

By using the standard theory of orthogonal completions for semiprime rings, we prove the following:

**Theorem 3.1.** *Let  $R$  be a noncommutative 2-torsion free semiprime ring,  $U$  the left Utumi quotient ring of  $R$  and  $d, \delta$  be two derivations of  $R$ . If there exists  $0 \neq a \in R$  such that  $a(d(x^n)x^n + x^n\delta(x^n)) = 0$  for all  $x \in R$ , where  $n \geq 1$  is a fixed integer, then there exist orthogonal central idempotents  $e_1, e_2, e_3 \in U$  with  $e_1 + e_2 + e_3 = 1$  such that  $(d + \delta)(e_1U) = 0$ ,  $e_2a = 0$ , and  $e_3U$  is commutative.*

**Proof.** Since any derivation  $d$  can be uniquely extended to a derivation in  $U$ , and  $U$  and  $R$  satisfy the same differential identities (see [16]),  $a(d(x^n)x^n + x^n\delta(x^n)) = 0$  for all  $x \in U$ .

Let  $B$  be the complete Boolean algebra of  $E$ . We choose a maximal ideal  $P$  of  $B$  such that  $U/PU$  is 2-torsion free. Then  $PU$  is a prime ideal of  $U$ , which is  $d$ -invariant. Denote  $\bar{U} = U/PU$  and  $\bar{d}, \bar{\delta}$  be the canonical pair of derivations on  $\bar{U}$  induced by  $d$  and  $\delta$  respectively. Then by hypothesis,  $\bar{a}(\bar{d}(\bar{x}^n)\bar{x}^n + \bar{x}^n\bar{\delta}(\bar{x}^n)) = 0$  for all  $\bar{x} \in \bar{U}$ . Since  $\bar{U}$  is a prime ring, by Corollary 2.4, either  $\bar{d} = \bar{\delta} = 0$  or  $[\bar{U}, \bar{U}] = 0$  or  $\bar{a} = 0$ . In any case, we have  $a d(U)[U, U] \subseteq PU$  and  $a \delta(U)[U, U] \subseteq PU$  for all  $P$ , that is,  $a D(U)[U, U] \subseteq PU$  for all  $P$ , where  $D = d + \delta$ . Since  $\bigcap \{PU : P \text{ is any maximal ideal in } B \text{ with } U/PU \text{ 2-torsion free}\} = 0$ , we have  $a D(U)[U, U] = 0$ .

By using the theory of orthogonal completion for semiprime rings (see, [1, Chapter 3]), it follows that there exist orthogonal central idempotents  $e_1, e_2, e_3 \in U$  with  $e_1 + e_2 + e_3 = 1$  such that  $D(e_1U) = 0$ ,  $e_2a = 0$ , and  $e_3U$  is commutative. ■

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